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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,665	02/13/2002	Thomas J. Kennedy	P-4628-3-C1-3-C1

CONFIRMATION NO. 8236

24492  
MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL  
SPALDING SPORTS WORLDWIDE INC  
425 MEADOW STREET  
PO BOX 901  
CHICOPEE, MA 01021-0901

## FORMALITIES LETTER



\*OC000000007609618\*

Date Mailed: 03/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/15/2002 SSESHE1 00000112 170150 10074665

01 FC:105 130.00 CH

Receipt


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 APR 12 2002  
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 UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 2023  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/074,665	02/13/2002	3711	950	P-4628-3- C1-3-C1	1	27	4

CONFIRMATION NO. 8236

24492

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 MAR 11 2002

## FILING RECEIPT



\*OC000000007609617\*

Date Mailed: 03/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

 III  
 A  
 Thomas J. Kennedy, Wilbraham, MA;  
 Michael J. Tzivanis, Chicopee, MA;  
 Viktor Keller, Bradenton, FL;  
 William M. Risen JR., Rumford, RI;  
 Mark L. Binette, Ludlow, MA;  
 John L. Nealon, Springfield, MA;  
~~Michael J. Sullivan, Barrington, RI;~~

Not an Inventor

## Domestic Priority data as claimed by applicant

 CON THIS APPLICATION IS A CON OF 09/993,422 11/05/2001  
 WHICH IS A CIP OF 09/783,484 02/14/2001  
 WHICH IS A CIP OF 09/040,456 03/18/1998 PAT 6,213,894  
 WHICH IS A CIP OF 08/631,613 04/10/1996 PAT 5,803,831  
 WHICH IS A CIP OF 08/591,046 01/25/1996 ABN  
 AND A CIP OF 08/542,793 10/13/1995 ABN  
 WHICH IS A CIP OF 08/070,510 06/01/1993 ABN  
 THIS APPLICATION 10/074,665  
 IS A CIP OF 09/411,690 10/01/1999 PAT 6,290,614  
 WHICH IS A CIP OF 09/040,798 03/18/1998

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2002

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Multi-layer golf ball

**Preliminary Class**

463

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Thomas J. Kennedy, III, et al

Serial No.: 10/074,665

Filing Date: 02/13/2002

For: **MULTI-LAYER GOLF BALL**

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

ATTN: Box Missing Parts

Sir:

**RESPONSE TO MISSING PARTS NOTICE**

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, FILING DATE GRANTED, mailed March 8, 2002, enclosed herewith is a copy of the duly executed declaration document from parent Application Serial No. 09/993,422 filed on November 5, 2001, on behalf of the inventor(s) for filing with the above-identified application.

This application has only six inventors. Michael J. Sullivan is not an inventor and therefore his signature does not appear on the declaration form.

Please charge the \$130.00 (Eff. 10/1/01) surcharge fee and any other applicable fees to our deposit account no. **17-0150**.

If the Examiner has any questions or needs additional information before proceeding in this matter, please give the undersigned attorney a call at (413) 322-2937.

Respectfully submitted,  
Thomas J. Kennedy, III, et al

Date: April 4, 2002  
Customer No.: 24492  
Telephone No. (413) 322-2937  
Our Ref.: P-4628-3-C1-3-C1  
SLD 2 0218-3-1-3(I)

MB/lat  
Enc.

By Michelle Bugbee  
Michelle Bugbee  
Registration No. 42,370  
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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

XX deposited with the United States Postal Service  
with sufficient postage as first class mail, in an  
envelope addressed to the Assistant Commissioner  
for Patents, Washington, D.C. 20231

transmitted by facsimile  
to the Patent and Trademark  
Office.

Date: April 4, 2002

Leslie A. Tzivanis  
Signature

Leslie A. Tzivanis  
(type or print name of person certifying)

#4



P-4628-3-C1-3-C1 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Kennedy, III, et al  
For: MULTI-LAYER GOLF BALL

Serial No: 10/074,665

Group Art Unit: 3711

Filed: February 13, 2002

Examiner: Not Yet Assigned

Assistant Commissioner for Patents  
Washington, DC 20231  
ATTN: Office of Initial Patent Examination  
Customer Service Center

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is

<u>XX</u>	incorrectly entered	and/or	_____	omitted
<u>XX</u>	Applicant's name		_____	Applicant's address
_____	Title		_____	Filing Date
_____	Serial Number			
_____	Foreign/PCT Application Reference			
<u>XX</u>	Other: Continuing Data			

---

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

XX deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_ transmitted by facsimile to the Patent and Trademark Office.

Date:

April 4, 2002

Leslie A. Tzivanis  
Leslie A. Tzivanis

Serial No. 10/074,665

Filing Date: February 13, 2002

P-4628-3-C1-3-C1

In the filing receipt under "Applicants", the first inventor's name should be corrected as follows (changes bolded and underlined):

Thomas J. Kennedy, **III**

Also in the filing receipt under "Applicants", please remove the last inventor, Michael J. Sullivan, Barrington, RI. Michael J. Sullivan is not an inventor.

In the filing receipt under "Domestic Priority", the third line should be corrected as follows (changes bolded and underlined):

WHICH IS A **CON** OF 09/040,456 03/18/1998 PAT 6,213,894

The following documents are provided for verification of the above and for your convenience with respect to the above-identified patent application:

A copy of the "Preliminary Amendment" and "Declaration" filed with this request.

3.    **XX**    The correction is not due to any error by Applicant and no fee is due.  
      \_\_\_    The correction is due to Applicant's error and the fee therefor under 37  
            C.F.R. § 1.19(h) of \$25.00 is paid as follows:  
      \_\_\_    Please charge Deposit Account 17-0150 in the amount of \$25.00.  
      **XX**    Please charge Deposit Account 17-0150, if any applicable fees are deemed  
            necessary in this Request For Corrected Filing Receipt.

Respectfully submitted,  
Thomas J. Kennedy, III, et al

Date: April 4, 2002  
Customer No. 24492  
(413) 322-2937  
MB/lat  
Other Enc. Return Receipt Postcard (MPEP503)

Michelle Bugbee  
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